## IAP20 Regidestato 12 Jan 2006

TRANSMITTAL OF INFORMA (Under 37 CFF	TION DISCLOSURE STA R 1.97(b) or 1.97(c))	TEMENT	li .	cket No. 42 PCT 1			
In Re Application Of: Gordon et. al							
Application-No. Filing Date	Examiner	Customer No. 00137	Group Art Unit	Confirmation No.			
Title: AMINOFUNCTIONAL SILICONE	RESINS AND EMULSIONS C	ONTAINING T	НЕМ				
	Address to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-14						
<ol> <li>The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</li> </ol>							
	37 CFR 1.97(c)			·6 1 07			
CFR 1.97(b), provided that the Final Action under 37 CFR 1	2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
the statement specified i	in 37 CFR 1.97(e);						
OR  the fee set forth in 37 CFR 1.17(p).							

TRANSMITTA				TEMENT		cket No. 42 PCT 1
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Title: AMINOFUN	CTIONAL SILICONE	E RESINS AND EM	IULSIONS C	ONTAINING T	HEM	
The Director as described as de	the amount of or is hereby authorized below. arge the amount of edit any overpayment arge any additional for credit card. Form Formation on this form. Provide at the of Transmission begin document and authorizate of Transmission begin facsimile transmitted emark Office (Fax. No.  Signature  Printed Name of Person Signature  to, Reg. No. 39,827 6925 232 Road 6-0994	is atta ed to charge and co st. fee required. PTO-2038 is attache is form may become credit card information to charge deposite to the United States  ening Certificate	ed. me public. Crmation and  Ce I hereby with the Uas first clar for Patent CFR 1.8(a)  Typ  Dated:	Credit card info authorization retificate of Mail certify that this con inited States Posts ss mail in an envel s, P.O. Box 1450, (Date)  Signature of Per Kelled or Printed Name	ormation should on PTO-2038.  ling by First Class respondence is being a Service with suffice addressed to "Calexandria, VA 223 reson Mailing Correspondence is being a service with suffice person Mailing Correspondence is being a service with suffi	ing deposited cient postage commissioner 313-1450" [37
	In Re Application  A check in  Title: AMINOFUN  A check in  The Director  as described  Creftific  I certify that this account is beint Patent and Trad  (Date)  Patricia M. Scadule (Date)  W. Salzburg (Date)  P.O. Box 994  Midland, MI 48686	In Re Application:    Control	In Re Application:  Gord  Filing Date  Examination:  Gord  Filing Date  Examination:  Condy complete if Applicant elements of included in this document and authorization to charge deposit account.  Certificate of Transmission by Facsimile*    Certificate of Transmission by Facsimile*    Cardin and Arademark Office (Fax. No.)   Chate)    Signature   Signature   Typed or Printed Name of Person Signing Certificate   Signature   Signature	In Re Application:  Gordon et. al  Application of Filling Date  Payment of Fee  (Only complete if Applicant elects to pay the fill the Director is hereby authorized to charge and credit Deposit as described below.  Charge the amount of sattached.  Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Cincluded on this form. Provide credit card information and Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile in the United States Patent and Trademark Office (Fax. No.  (Date)  Signature  Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by deposit account.  Signature  Patricia M. Scaduto, Reg. No. 39,827  Phone: 989-96-6925  Mail Number CO1232 2200 W. Salzburg Road P.O. Box 994  UNITED STATES OF AMERICA	In Re Application:  Gordon et. al  Customer No. 00137  Title: AMINOFUNCTIONAL SILICONE RESINS AND EMULSIONS CONTAINING TO  Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. (as described below.  Charge the amount of \$  Credit any overpayment.  Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card informitionand authorization  Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  Certificate of Mail account is being facsimile transmited to the United States Patent and Trademark Office (Fax. No.  (Date)  Signature  *This certificate may only be used if paying by  deposit account.  Signature  *This certificate may only be used if paying by  Signature  *This certificate may only be used if paying by  Signature  Patricia M. Scaduto, Reg. No. 39,827  Phone: 999-496-6925  Mail Number C01232  2200 W. Salzburg Road P.O. Box 994  Midland, MI 48686-0994  UNITED STATES OF AMERICA	In Re Application:  Gordon et. al  Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 04-1520 as described below. Charge the amount of \$ Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*    Certificate of Transmission by Facsimile*

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Art Unit

## STATEMENT BY APPLICANT

**Examiner Name** (Use as many sheets as necessary)

Attorney Docket Number DC5142 PCT1 Sheet 1

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (I known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US- 5,262,507	11-16-1993	Decker et al.	
		US- 5,126,126	06-30-1992	Varaprath et al.	
		US- 4,988,778	01-29-1991	Chang et al.	
		US- 5,110,891	05-05-1992	Cifuentes et al.	
		US- 5,489,482	02-06-1996	Minemura et al.	
		US- 5,840,951	11-24-1998	Hierstetter et al.	
		US- 3,890,269	06-17-1975	Martin	
		US- 5,152,984	10-06-1992	Varaprath et al.	
		US- 4,972,029	11-20-1990	Herzig et al.	
		US- 4,857,608	08-15-1989	Herzig et al.	
		<sup>US-</sup> 5,135,993	08-04-1992	Decker et al.	
		<sup>US-</sup> 3,166,527	01-19-1965	Ender	
		<sup>US-</sup> 5,561,174	10-01-1996	Saito et al.	
		<sup>US-</sup> 5,102,960	04-07-1992	lmai et al.	
		US- 5,804,616	09-08-1998	Mowrer et al.	
		US- 6,071,990	06-06-2000	Yip et al.	
		US- 5,399,652	03-21-1995	Bindl et al.	
		US- 4,935,482	06-19-1990	Decker et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> (Number <sup>4</sup> 'Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6			
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Examiner	Date	
Signature	Considered	

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(Use as many sheets as necessary)
Sheet 2 of

Attorney Docket Number DC5142 PCT1

	1	Daniel Calamana Linea Miller			
Examiner Initials*	Cite No. <sup>1</sup>	. Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,362,821	11-8-1994	Decker et al.	
		US 5,378,532	01-03-1995	Decker et al.	-
		US- 5,405,688	04-11-1995	Decker et al.	
		US- 5,466,323	11-14-1995	Decker et al.	
		<sup>US-</sup> 5,431,765	07-11-1995	Decker et al.	
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		US- 4,125,510	11-14-1978	Antonen	
		US- 5,952,439	09-14-1999	Morita et al.	
		<sup>US-</sup> 5,516,858	05-14-1996	Morita et al.	
	ľ	US- 5,290,882	03-01-1994	Shiobara et al.	
		US4,877,822	10-31-1989	Itoh et al.	
		<sup>US-</sup> 4,722,951	02-02-1988	Yoshioka et al.	
-		US- 5,283,279	02-01-1994	Hara et al.	
		US- 4,657,986	04-14-1987	Isayama et al.	
		US- <sub>4,795,680</sub>	01-03-1989	Rich et al.	
		US- 5,840,806	11-24-1998	Komazaki et al.	
		US- 4,234,697	11-18-1980	Homan et al.	

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Sheet 3

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		US- 4,202,807	05-13-1980	Moretto et al.	
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	FOREIGN PATENT DOCUMENTS								
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PTO/SB/08b (08-03)

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	Substitute 1	for form 1449B/PTO				Complete if Known
					Application Number	10/564610
	INFO	RMATION	DISCLO	OSURE	Filing Date	887 7 0 4 0 1 U
	STATEMENT BY APPLICANT		First Named Inventor	GORDON		
					Art Unit	
	•	(Use as many she	ets as necessa	ry)	Examiner Name	
-	Sheet	4	of	14	Attorney Docket Number	DC5142 PCT1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	_
		R. TAMAKI, J. CHOI, AND R.M. LAIN, A Polyimide Nanocomposite from Octa(aminophenyl)silsesquioxane, Chemical Materials, 2003, pages 793-797, Volume 15.	
		C. LI AND G. WILKES, Silicone/Amine Resin Hybrid Materials as Abrasion Resistant Coatings, Chemical Materials. 2001. pages 3663-3668. Volume 13.	
***************************************			
Examiner	<u></u>	Date	7

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